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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/859,427	05/18/2001	David Rothkopf	026624.101-US01

CONFIRMATION NO. 4821

FORMALITIES LETTER



OC00000006347451

26853
COVINGTON & BURLING
ATTN: PATENT DOCKETING
1201 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, DC 20004-2401

Date Mailed: 07/26/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

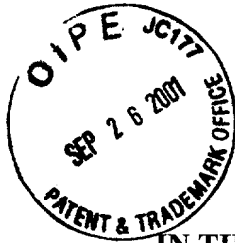
*A copy of this notice **MUST** be returned with the reply.*

09/27/2001 EABUBAK1 0000005E 09859427

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Docket No.: 026624.101-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
David Rothkopf

Application No.: 09/859,427-4821

Group Art Unit: 2171

Filed: May 18, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
PROVIDING CUSTOMIZED INFORMATION

TRANSMITTAL LETTER

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

1. Response to the Notice to File Missing Parts of Application (in duplicate);
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Fee Transmittal;
4. Declaration for Patent Application;
5. Preliminary Amendment;
6. Submission of Formal Drawings;
7. 13 Sheets of Formal Drawings (Figs. 1, 2, 3, 3A, 4A, 4B, 5, 6, 7, 8, 9, 10 and 11);
8. Check No. 285587 for \$65.00 to cover the late filing fee; and

09/859,427-4821

9. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026624.101-US01. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 50-0740, referencing Docket No. 026624.101-US01. A duplicate copy of this paper is enclosed.

Dated: September 26, 2001

Respectfully submitted,

By

Andrea G. Reister

Registration No.: 36,253

COVINGTON & BURLING

1201 Pennsylvania Avenue, N.W.

Washington, DC 20004-2401

(202) 662-6000

09/26/01 14:55:00



#5

Docket No.: 026624.101-US01
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
David Rothkopf

Application No.: 09/859,427-4821

Group Art Unit: 2171

Filed: May 18, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
PROVIDING CUSTOMIZED INFORMATION

SUBMISSION OF FORMAL DRAWINGS

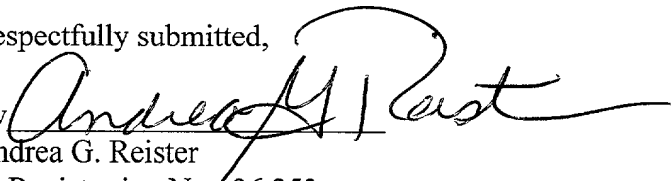
Commissioner for Patents
Washington, DC 20231

Dear Sir:

Submitted herewith is one set (13 sheets, Figs. 1, 2, 3, 3A, 4A, 4B, 5, 6, 7, 8, 9, 10 and 11) of formal drawings for filing in the above-identified Patent application. Kindly substitute the enclosed formal drawings for the informal drawings submitted with the originally filed application.

Dated: September 26, 2001

Respectfully submitted,

By 
Andrea G. Reister

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09/26/01 12:24:59 PM